

# FCC Actions to Make Spectrum Available for 5G



Julius Knapp, Chief  
Office of Engineering and Technology

Second Global 5G Event  
November 9, 2016

Note: The views expressed in this presentation are those of the author and may not necessarily represent the views of the Federal Communications Commission

# 30 YEARS OF CELL PHONES



1G: Analog  
Cellular



2G: Personal  
Communications  
Service



3G: Advanced  
Wireless  
Service



4G: 700 MHz

# Drivers of Growth



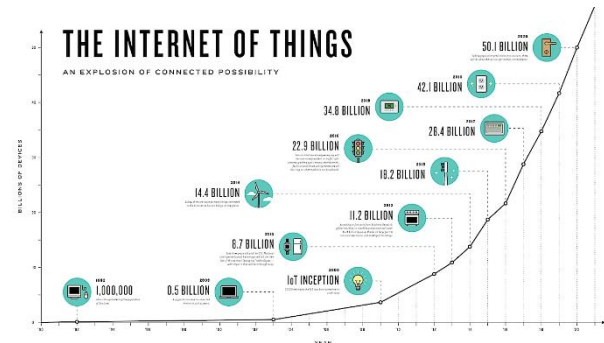
Usage: 24/7



Apps Economy



Social Media



Internet of Things

# Spectrum Trifecta: Low Band

## TV Incentive Auction

42	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	11	A	B	11	A	B				
48	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	7	A	B	C	11	A	B	C			
60	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	9	A	B	C	D	11	A	B	C	D			
72	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	11	A	B	C	D	E	11	A	B	C	D	E			
78	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	7	A	B	C	D	E	F	11	A	B	C	D	E	F		
84	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	3	A	B	C	D	E	F	G	11	A	B	C	D	E	F	G	
108	21	22	23	24	25	26	27	28	29	30	31	32	11	A	B	3	37	3	C	D	F	F	G	H	11	A	B	C	D	E	F	G	H	
114	21	22	23	24	25	26	27	28	29	30	31	7	A	B	C	D	3	37	3	E	F	G	H	I	11	A	B	C	D	E	F	G	H	I
126	21	22	23	24	25	26	27	28	29	9	A	B	C	D	E	F	3	37	3	G	H	I	J	11	A	B	C	D	E	F	G	H	I	J

700 MHz UL

700 MHz UL

Repacked TV

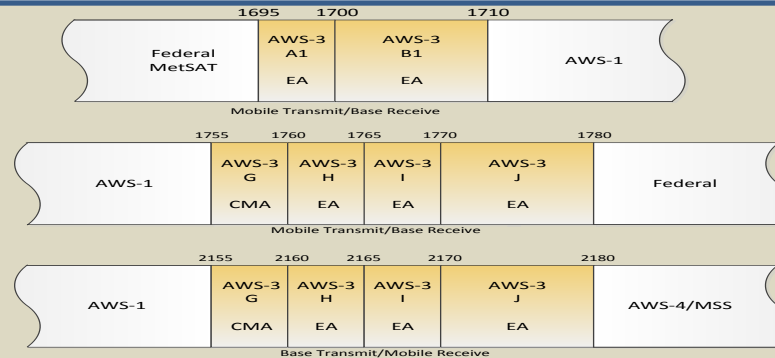
Guard  
Band

Medical  
Telemetry &  
Radio Astronomy

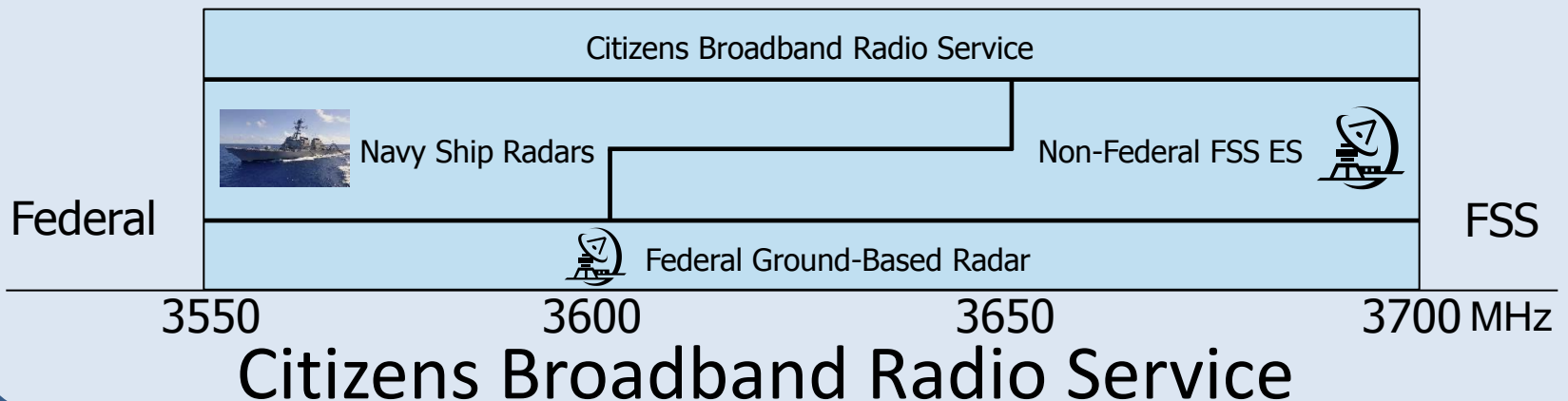
Duplex  
GAP

Repurposed  
For Wireless  
Auction

# Spectrum Trifecta: Mid Band



**AWS-3: Auctioned 1.7 GHz/2.1 GHz**



# Spectrum Trifecta: High Band

- ❑ U.S. has opened up high-band spectrum for 5G networks and applications
- ❑ Sticks to a proven formula:
  - ❑ Make spectrum available
  - ❑ Encourage and protect innovation-driving competition
  - ❑ Stay out of the way of technological development and details of implementation



# Opening of High Band Spectrum

*Spectrum Frontiers*

*Report and Order and Further Notice of Proposed Rule Making  
Adopted by the Commission July 14, 2016*

## **Spectrum Allocations**

- ❑ 10.85GHz of Spectrum added for mobile
  - ❑ Licensed Bands (3.85GHz):  
27.5-28.35 GHz; 37-38.6 GHz;  
38.6-40 GHz;
  - ❑ Unlicensed Bands (7GHz):  
64-71 GHz

## **Service Rules**

- ❑ Part 30: Upper Microwave Flexible Use Service (UMFUS)
- ❑ Geographic Area Licensing, Area Size, Band Plan, License Term, Overlay Auctions
- ❑ Technical rules
- ❑ Performance Requirements
- ❑ Ensure cyber protections considered from the start

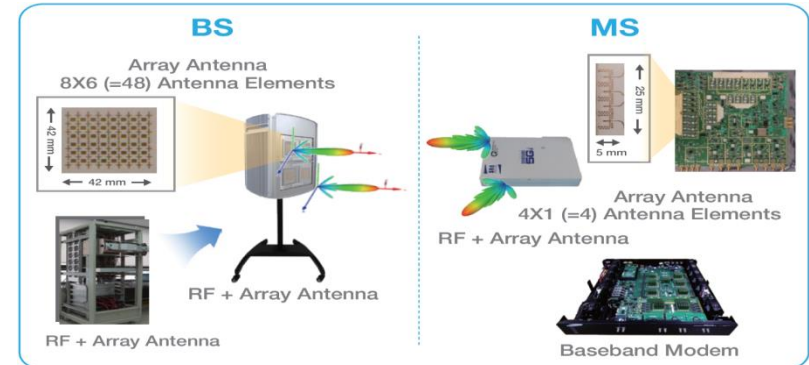
# Overview of R&O Bands

	28 GHz	37 GHz	39 GHz	64-71 GHz
<i>Frequency</i>	27.5-28.35 GHz	37-38.6 GHz	38.6-40 GHz	64-71 GHz
<i>Bandwidth</i>	850 MHz	1600 MHz	1400 MHz	7000 MHz
<i>Terrestrial Allocation</i>	Licensed for fixed operations, with about 75% of the population covered by existing licenses; remaining licenses in inventory	Yes (no current use)	Licensed for fixed operations, with about 50% of the population covered by existing licenses; the remaining licenses are in inventory.	Yes (no current use)
<i>Federal Allocation</i>	No	Radio Astronomy / Space Research in 37-38 GHz @ 3 sites;  Federal Fixed/Mobile in 37-38.6 GHz @ 14 locations	Fixed Satellite Service / Mobile Satellite Service in 39.5-40 (military use only)	Earth Exploration Satellite  Fixed/Mobile/Satellite
<i>Satellite Allocation</i>	Yes	Yes (no current use)	Yes (no current use)	Yes (no current use)
<i>Licensing Scheme</i>	Licensed	Licensed	Licensed	Unlicensed

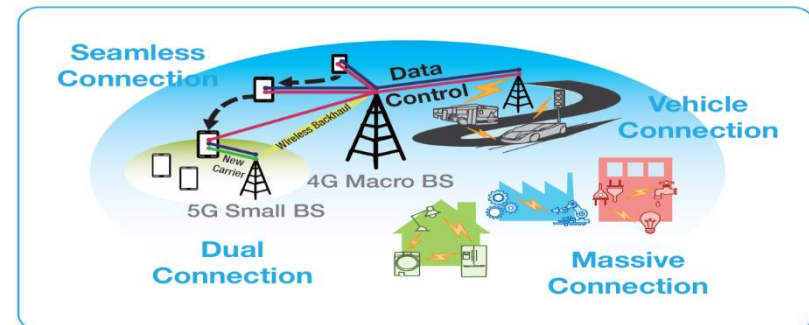


# Some Factors Enabling Sharing

- ❑ High amount of spectrum provides flexibility to avoid interference
- ❑ Relatively high path loss
- ❑ Adaptive antenna technology (steered beams)
- ❑ Heterogeneous networks



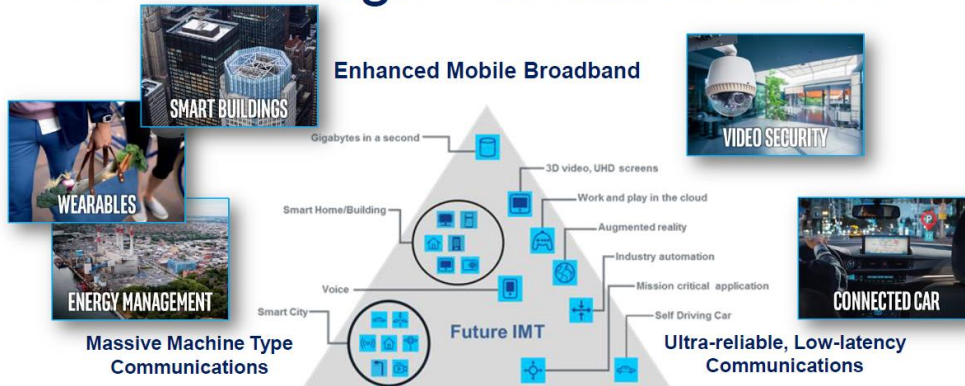
Full Dimensional MIMO



5G Deployment Scenario

# What is 5G?

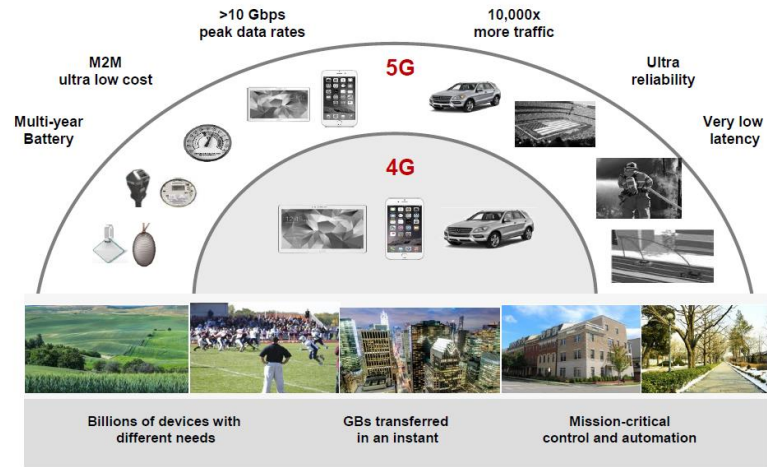
## ITU-R Usage Scenarios for 5G



Source: Recommendation ITU-R M.2083 "IMT Vision - Framework and overall objectives of the future development of IMT for 2020 and beyond"

Intel products fuel the 5G engine by enabling new applications in a variety of spectrum bands

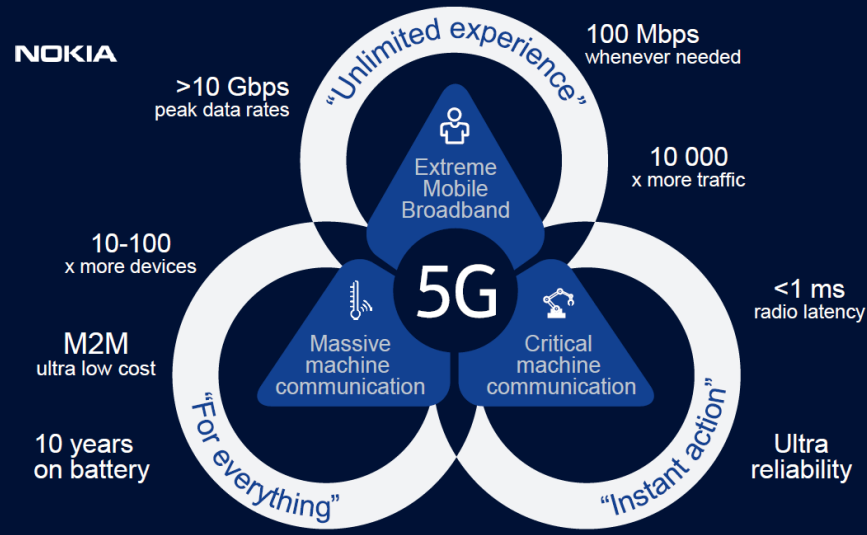
## So what is 5G?



verizon

Confidential and proprietary materials for authorized Verizon personnel and outside agencies only. Use, disclosure or distribution of this material is not permitted to any unauthorized persons or third parties except by written agreement.

## Heterogeneous use cases – diverse requirements



## DRIVING 5G EVOLUTION

New Use Cases & Business Models



New Tools



New deployment options

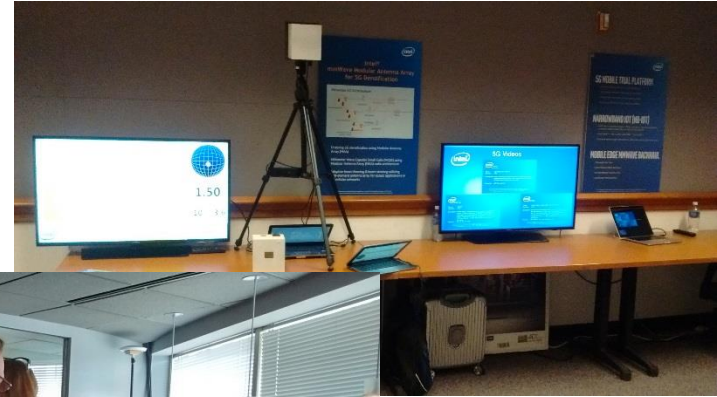


Operational Efficiency

Ericsson's 5G Perspective | © Ericsson AB 2016 | 2016-03-10 | Page 2



# FCC 5G Workshop – Equipment Demos



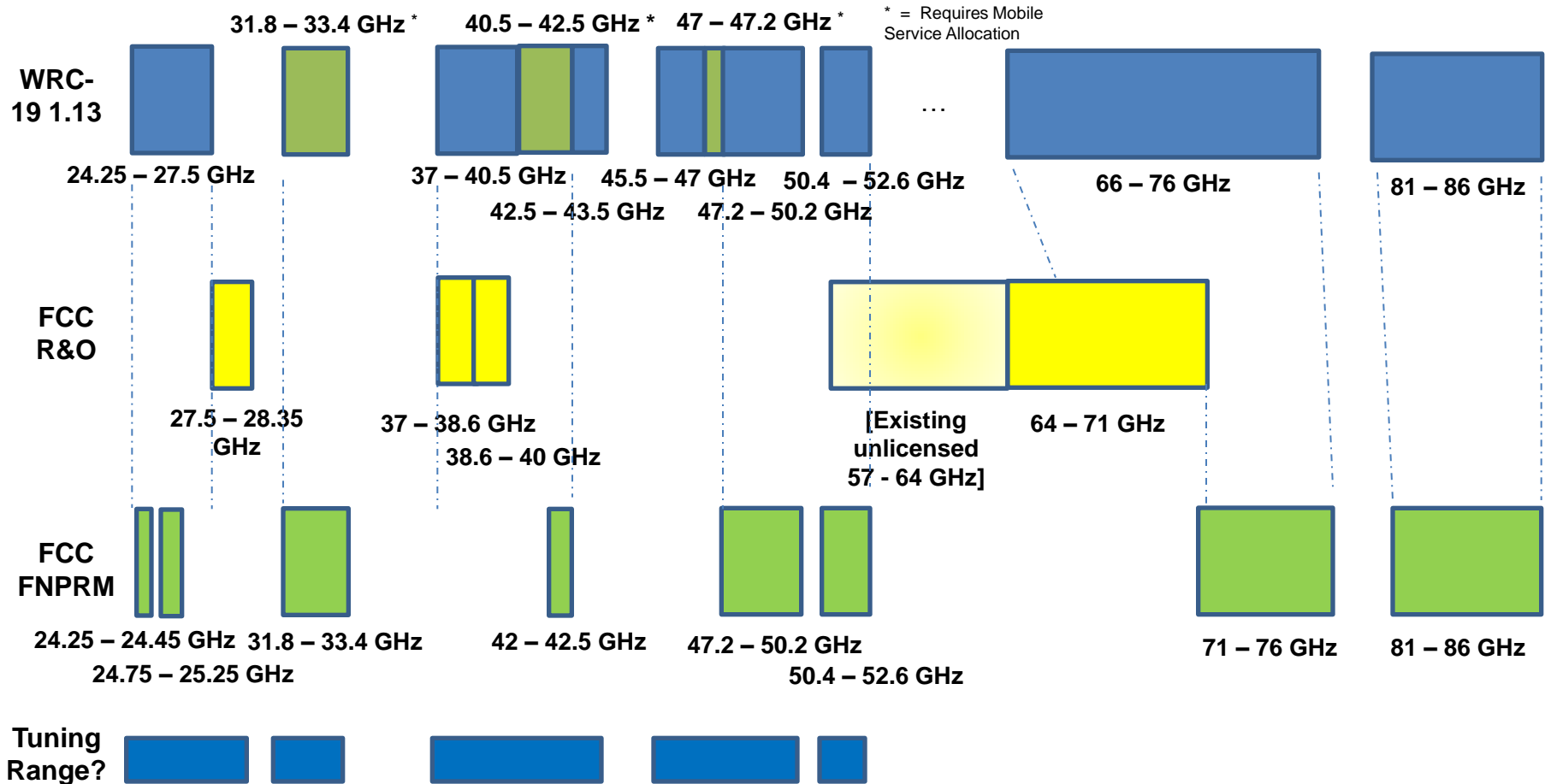
# Next Steps

- ❑ Mobile service in mmW band has strong support
  - ❑ Suppliers have developed equipment
  - ❑ Carriers are conducting tests & planning trials
- ❑ Further Notice of Proposed Rule Making
  - ❑ Seeks comment on 15.8 GHz + above 95 GHz band
  - ❑ 24.25-24.45 GHz; 24.75-25.25 GHz; 31.8-33.4 GHz; 42-42.5 GHz; 47.2-50.2 GHz; 71-76 GHz; 81-86 GHz; and, bands above 95 GHz
  - ❑ Invites comment on various details including sharing spectrum with federal users
- ❑ Comments September 30, 2016; Replies October 31, 2016
- ❑ Notice of Inquiry on to ensure attention to cybersecurity

# Expansion of Experimental Licensing Program (ET Docket No. 10-236)

- ❑ ***Greater flexibility to conduct research and development***  
by permitting flexibility to adapt experiments within a broad range of parameters
- ❑ ***Program experimental license:*** Allows colleges, research laboratories, health care institutions, and manufacturers that have demonstrated experience in RF technology to conduct ongoing series of research experiments and tests
- ❑ ***Clarifies, simplifies, and expands rules for market trials*** - allows greater number of devices to enter U.S. for testing and evaluation purposes

# Opportunities for International Harmonization



# Advanced Wireless Research Initiative



- ❑ Executive Branch this past July launched a \$400 million Advanced Wireless Research Initiative led by the National Science Foundation (NSF)
- ❑ For details see <https://nsf.gov/cise/advancedwireless/>
- ❑ New program will enable the deployment and use of four city-scale testing platforms for advanced wireless research over the next decade and builds upon the Federal Communications Commission's (FCC) action on *Spectrum Frontiers*



# Advanced Wireless Initiative

## Description of Potential Benefits of 5G

- ❑ **Mobile phones and tablets that can download full length HD movies in less than 5 seconds, 100 times faster than 4G** (6 minutes) and 25,000 times faster than 3G (26 hours).
- ❑ **First responders and emergency room doctors who get live, real-time video and sensor data** from police vehicles, ambulances, and drones, along with patient vitals and medical records—all before the patient arrives at the hospital door.
- ❑ **Semi- or fully-autonomous vehicles** that can communicate with the outside world and with each other to improve travel efficiency and safety.
- ❑ **Factories equipped with always-connected smart manufacturing equipment** that self-diagnose and repair themselves before they break.
- ❑ **Gigabit-speed wireless broadband** available in businesses, public transportation stations, stadiums, campuses, schools, malls, parks, and other public spaces.
- ❑ **Virtual reality training environments and simulators** that allow entry-level workers to develop and demonstrate skills in high-demand fields like solar energy installation—anytime, from anywhere.

# FCC Chairman Tom Wheeler: 5G is not a technology. It is a revolution.

- Chairman Wheeler: *Let's talk about the benefits of smart-city energy grids, safer transportation networks, and new opportunities to improve health care. Let's paint the picture of how 5G will unleash immersive education and entertainment industries, and how 5G will unlock new ways for local employers to grow, whether it's a small specialty shop or a large factory, creating new jobs and improving services for the community.*

# Other Keys to Unlocking the 5G Opportunity

- ❑ Foster competitive provision of infrastructure
  - ❑ 5G will require a lot more cells
  - ❑ We'll need a lot more backhaul
  - ❑ Commission will take up a reform proposal that will encourage innovation and investment in what we now call Business Data Services
- ❑ Remove unnecessary hurdles to siting
  - ❑ Estimates of 10x or more growth in cell sites
  - ❑ Need to tell story of 5G in terms of deliverables
  - ❑ Committed to working to lessen siting burdens and costs to ensure that 5G is available nationwide, while respecting the vital role that the communities themselves play in the siting process

Questions?